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Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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UTILITY PATENT APPLICATION TRANSMITTAL <small>(Only for new nonprovisional applications under 37 CFR 1.53(b))</small>	Attorney Docket No.	1095.2.1	Total Pages	39
	First Inventor : Coston L. Christensen			
	Title: SELECTIVELY CASCADEABLE STORAGE MANAGEMENT APPARATUS, METHOD, AND SYSTEM			
	Express Mail Label No.	ER032358134US		

17497 U.S. PTO
10/603886

06/25/03

APPLICATION ELEMENTS <i>See MPEP chapter 600 concerning utility patent application contents.</i>	Commissioner for Patents ADDRESS TO: Mail Stop Patent Application P.O. Box 1450 Alexandria, VA 22313-1450				
<p>1. <input checked="" type="checkbox"/> Fee Transmittal Form <i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input checked="" type="checkbox"/> Applicant Claims Small Entity Status</p> <p>3. <input checked="" type="checkbox"/> Specification <i>(Total Pages)</i> <u>24</u> <i>(Preferred arrangement set forth below)</i></p> <ul style="list-style-type: none">- Descriptive title of the invention- Cross References to Related Application- Statement Regarding Fed sponsored R & D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings <i>(if filed)</i>- Detailed Description- Claim(s)- Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) <i>(Total Pages)</i> <u>13</u></p> <p>5. Oath or Declaration <i>(Total Pages)</i> <u>2</u></p> <p>a. <input checked="" type="checkbox"/> Newly executed (original or copy)</p> <p>b. <input type="checkbox"/> Copy from a prior application (37 CFR 1.63(d)) <i>(for continuation /divisional with Box 17 completed)</i> [Note Box 5 below]</p> <p>i. <input type="checkbox"/> DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in prior application, see 37 CFR 1.63(d)(2) and 1.33(b).</p> <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p>	<p>7. <input type="checkbox"/> CD-Rom or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <p>a. <input type="checkbox"/> Computer Readable Form (CRF)</p> <p>b. Specification Sequence Listing on: <input type="checkbox"/> CD-ROM or CD-R (2 copies); or</p> <p>ii. <input type="checkbox"/> Paper</p> <p>c. <input type="checkbox"/> Statement verifying identity of above copies</p> <p>ACCOMPANYING APPLICATION PARTS</p> <p>9. <input type="checkbox"/> Assignment Papers (cover sheet & document(s))</p> <p>10. <input type="checkbox"/> 37 CFR 3.73(b) Statement <input checked="" type="checkbox"/> Power of Attorney <i>(when there is an assignee)</i></p> <p>11. <input type="checkbox"/> English Translation Document <i>(if applicable)</i></p> <p>12. <input checked="" type="checkbox"/> Information Disclosure Statement (IDS)/PTO-1449 <input checked="" type="checkbox"/> Copies of IDS Citations</p> <p>13. <input type="checkbox"/> Preliminary Amendment</p> <p>14. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503) <i>(Should be specifically itemized)</i></p> <p>15. <input type="checkbox"/> Certified Copy of Priority Document(s) <i>if foreign priority is claimed)</i></p> <p>16. <input type="checkbox"/> Nonpublication Request under 35 U.S.C. 122 (b)(2) (B) (i). Applicant must attach form PTO/SB/35 or its equivalent.</p> <p>17. <input checked="" type="checkbox"/> Other: Express Mail Certificate</p>				
<p>18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information:</p> <p><input type="checkbox"/> Continuation <input type="checkbox"/> Divisional <input type="checkbox"/> Continuation-in-part (CIP) of prior application No.:</p>					
<p>19. CORRESPONDENCE ADDRESS</p> <p><input type="checkbox"/> Customer Number or Bar Code Label _____</p> <p><input type="checkbox"/> Or Correspondence address below</p>					
NAME	Brian C. Kunzler				
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Registration No. (Attorney/Agent): 50,587

Signature :

Steven F. McDaniel

Date:

25 June 2003

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PTO/SB/05 (12/97)

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<h1 style="text-align: center;">FEE TRANSMITTAL</h1> <p style="text-align: center;">Note: Effective October 1, 2001. Patent fees are subject to annual revision.</p>		Complete If Known	
		Application Number	Not yet assigned
		Filing Date	June 25, 2003
		First Named Inventor	Coston L. Christensen
		Group Art Unit	
		Examiner Name	
TOTAL AMOUNT OF PAYMENT	\$ 402	Attorney Docket Number	1095.2.1

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: _____

Deposit Account Name: _____

- Charge Any Additional Fee Required Under Mailing 37 CFR 1.16 and 1.17 Allowance ☐ Charge the Issue Fee in 37 CFR at the of the Notice of

2. ☐ Payment Enclosed:

☐ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1001	750	2001	375	Utility filing fee	375
1002	330	2002	165	Design filing fee	
1003	520	2003	260	Plant filing fee	
1004	750	2004	375	Reissue filing fee	
1005	160	2005	80	Provisional filing fee	
SUBTOTAL (1)					\$ 375

2. CLAIMS

	Extra	Fee from below	Fee Paid
Total Claims	23 - 20 = 3	3 x 9 = 27	27
Ind. Claims	3 - 3 = 0	0 x 42 = 0	0
Multiple Dep. Claims	0	0 x 140 = 0	0

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1202	18	2202	9	Claims in excess of 20	
1201	84	2201	42	Independent claims in excess of 3	
1203	280	2203	140	Multiple dependent claim	
1204	84	2204	42	Reissue independent claims over original patent	
1205	18	2205	9	Reissue claims in excess of 20 and over original patent	

SUBTOTAL (2)

\$ 27

*Reduced by Basic Filing Fee

SUBTOTAL (3)

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
1051	130	2051	65	Surcharge - late filing fee or oath	
1052	50	2052	25	Surcharge - late provisional filing fee or cover sheet	
1053	130	1053	130	Non-English specification	
1812	2520	1812	2520	For filing a request for reexamination	
1804	920*	1804	920*	Requesting publication of SIR prior to Examiner action	
1805	1840*	1805	1840*	Requesting publication of SIR after Examiner action	
1251	110	2251	55	Extension for reply within first month	
1252	410	2252	205	Extension for reply within second month	
1253	930	2253	465	Extension for reply within third month	
1254	1450	2254	725	Extension for reply within fourth month	
1255	1970	2255	985	Extension for reply within fifth month	
1401	320	2401	160	Notice of Appeal	
1402	320	2402	160	Filing a brief in support of an appeal	
1403	280	2403	140	Request for oral hearing	
1451	1510	1451	1510	Petition to institute a public use proceeding	
1452	110	2452	55	Petition to revive -unavoidably	
1453	1300	2453	650	Petition to revive - unintentional	
1501	1300	2501	650	Utility issue fee	
1502	470	2502	235	Design issue fee	
1503	630	2503	315	Plant issue fee	
1460	130	1460	130	Petitions to the Commissioner	
1807	50	1807	50	Petitions related to provisional applications	
1806	180	1806	180	Submission of Information Disclosure Stmt	
8021	40	8021	40	Recording each patent assignment per property (times number of properties)	
1809	750	2809	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	750	2810	375	For each additional invention to be examined (37 CFR 1.129(b))	
Other fee (specify) _____					
Other fee (specify) _____					

SUBMITTED BY				Complete (if applicable)	
Typed or Printed Name	Steven F. McDaniel			Reg. Number	50,587
Signature	<i>Steven F. McDaniel</i>			Date	Jun 25, 2003
				Deposit Account User ID	

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.


CERTIFICATE OF MAILING BY "EXPRESS MAIL"

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Date of Deposit: June 25, 2003

I hereby certify that this patent application in the name of Coston L. Christensen for
SELECTIVELY CASCADEABLE STORAGE MANAGEMENT APPARATUS, METHOD, AND
SYSTEM, together with the drawings, a Declaration, Power of Attorney, and Petition, Information
Disclosure Statement, PTO Form 1449, Copies of Cited References, and Check No. 366199 for
\$402.00 are being deposited with the United States Postal Service "Express Mail Post Office to
Addressee" service under 37 C.F.R. § 1.10 on the date indicated above in an envelope addressed to
Mail Stop Patent Application, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-
1450.

Respectfully submitted,



Steven F. McDaniel
Reg. No. 50,587
Agent for Applicant

Date: June 25, 2003

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